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GROUND BASED INTERCEPT OF A BALLISTIC MISSILE: SIMULATION TRUTH/MODEL INTERFACE

by

KYLE MATTHEW CONE, 2LT, USAF B.S., Purdue University, 1998

A Creative Investigation submitted to the Graduate Faculty of
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requirements for the degree of
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Ground Based Intercept of a Ballistic Missile: Simulation Truth/Model Interface

Creative Investigation directed by Doctor Don Caughlin

This investigation encompassed a study of the integration and operation of the Satellite Tool Kit and Missile Flight Tool modules. The Satellite Tool Kit display and Missile Flight Tool truth data designed in this investigation are components of a ballistic missile defense simulation, and are required to visualize and begin the simulation. Further, the integration and visualization of several of the ballistic missile intercept system components was explored.

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Introduction

The Ground Based Intercept (GBI) simulation was a teameffort simulation that asked its simulators to address the technical issues associated with the detection, acquisition and hit of an incoming ballistic missile. As the simulation truth/model interface architect, I was charged with generating truth data for an intercontinental ballistic missile in flight. Further, I was responsible for presenting the Ground Based Interceptor simulation in a visual format. I used the Satellite Tool Kit software to present the results of the simulation in a detailed, graphical format, and I used the supplementary Missile Flight Tool module to model an intercontinental ballistic missile and generate its corresponding truth data. Each GBI team member was given a specific role and a specific area of interest to examine. The respective roles and areas of interest of the team are defined below:

- 1. Program Manager
- 2. System Engineer
- 3. Simulation Truth/Model Interface Architect
- 4. Control Engineer (vehicle control)
- 5. Radar Engineer (Tracking, Discrimination)

- 6. IR Engineer (Detection, Tracking)
- 7. Battle Manager (Sensor Fusion)
- 8. GPS Engineer

This paper details the Simulation Truth/Model Interface portion of the GBI simulation project.

Background

The Simulation Truth/Model Interface architect was charged with providing the different simulation components with threat data and a visual forum to display and view their roles in the simulation. These different simulation components include infrared satellites and sensors, the battle manager facility, the search and track radars, the GPS satellites and the Exoatmospheric Kill Vehicle (EKV). A visual forum was provided by the Satellite Tool Kit (STK) satellite systems analysis software and the supplementary Missile Flight Tool (MFT) module provided by Analytical Graphics, Inc (AGI).

Providing threat data consisted of providing the position and velocity data of the enemy Intercontinental Ballistic Missile (ICBM) to the IR satellites and the search and track radars in the simulation. Passing this data to each was accomplished by modeling the flight of the ICBM in MFT, passing that data to STK, saving the data as a text file, modifying it to a MATLAB m-file and, finally, passing it into a MATLAB

Simulink model. In Simulink, the data was used to continue the simulation.

Simulation truth

In order to begin the simulation, it was necessary to have true data that accurately portrayed the position and velocity of the incoming ICBM. This data was simply the ephemeris from the ICBM and its reentry vehicles (RVs) as it traversed its trajectory as modeled in MFT. Specifically, the truth data included the time, latitude, longitude, altitude, latitude rate, longitude rate and altitude rate of the ICBM and both the actual and decoy RV. The entire Ground Based Interceptor (GBI) simulation relied on the truth data for an accurate depiction of the ICBM's flight and both RV's deployment and descent.

A master truth file, containing specific missile-status information could have been created. This master truth file would have contained data on each of the ICBM's components - each of the three stages, the shroud and each of the RVs.

Moreover, this master truth file would have data on each of the missile's part's ascent, separation and descent. Therefore, with this master file, one would have known the entire history of the ICBM. One would have known where it was at each time step and what its status was, including stage separation, shroud separation and RV status. Restricting the data to that required

by the simulation in two truth files, one for the primary target RV and the other for the decoy RV.

Simulation Environment

Satellite Tool Kit

In order to see the ICBM launch, fly and separate, and in order to see the target RV and the decoy RV deploy, a program capable of presenting results in a detailed, graphical format was necessary. Furthermore, this program needed the ability to propagate satellites, model sensors in different environments and display, on-screen, when a sensor could "see" the ICBM. The Satellite Tool Kit software went above and beyond the aforementioned criteria; therefore, the STK software was chosen to visualize the actions of the different simulation components during the simulation.

Satellite Tool Kit is a commercial-off-the-shelf satellite systems analysis tool used by the space industry to visualize, model, simulate and analyze complex scenarios involving an extensive range of options above and beyond the obvious satellite. The STK software allows a user to not only propagate a satellite's position in time, but also determine the time one object can see or "access" another object; this tool became extremely useful in our GBI simulation. Further, STK allows modeling of other vehicles and objects such as airplanes, ships,

ground vehicles, facilities, planets, stars, receivers, transmitters and sensors.

STK provides a user with many options, one of which is to model different behaviors. These behaviors include, but are not limited to, drag affects on an object, solar radiation affects on a satellite and any third-body gravitational affects. A user has the option to define the coordinate system in which to orient the object as well. Not only can a user add affects to the scenario, but one can also set constraints on objects.

These constraints include, but are not limited to, positions of the Sun and Moon, time-based constraints on a facility or target and standard constraints such as minimum and maximum angles and altitudes.

Missile Flight Tool

In order to launch and propagate the ICBM based on actual flight profiles, a program that modeled "real world flight" of an actual ICBM was critical. The ability to model the deployment and descent of reentry vehicles was also critical to the task of modeling an actual ICBM. To have STK visualize the launch and flight of the ICBM, a program that was already a part of STK, or one that could interface with STK was necessary, too. The Missile Flight Tool module of Satellite Tool Kit satisfied our needs.

"Missile Flight Tool is a high-fidelity missile flight path generator..." that enables the user to easily understand complex missile operations. By integrating MFT with STK, a set of unclassified databases is opened to the user. These databases represent a wide range of different missile types and performance capabilities that allows one the capacity to generate multiple-stage missile trajectories. Further, a user can easily analyze and visualize complex relationships between any portion of the missile phase, operations and satellite systems with the integration of MFT and STK.

MFT is an easy tool to use for the established and experienced user. It is the installation of and initial use of Missile Flight Tool that can cause the user a great deal of anxiety and stress. Since MFT is an STK module, the use of MFT requires a password and either a computer station host ID number or an expiration date. In fact, Missile Flight Tool is so sophisticated that it requires a special export license agreement with AGI. Certain aspects of the MFT module and details of the missile databases contained within the module are considered 'sensitive material.' Therefore, only certain countries and persons are cleared to use this module.

Unlike the Satellite Tool Kit ballistic missile propagator, which simply flies a vehicle on an elliptical path beginning and ending at the Earth's surface, the Missile Flight Tool missile

propagator models ICBMs properly, meaning, it models the "real world flight" of an ICBM. To illustrate, the MFT module offers staging phases and reentry vehicle capabilities while the standard STK ballistic propagator version does not. Moreover, the supplementary module is able to model eleven different missiles. Each missile is defined by its maximum range, number of stages, number of RVs and guidance type. The missiles vary between short test missiles with a maximum range of 300 kilometers and strategic ICBM missiles with a maximum range of 12000 kilometers.

MFT provides a user with many other options, one of which is to model different behaviors and forces acting on the missile in question. These behaviors and forces include, but are not limited to, an oblate versus a spherical Earth and atmospheric density, pressure and temperature. Also, MFT uses a wide variety of functions and procedures that deal with the physical shape and characteristics of the Earth.

Process

Overall integration framework

The Ground Based Interceptor simulation began and will end using Satellite Tool Kit; MFT generated the truth data. This truth data, along with system time, was then input into Simulink to continue the simulation. In the end, the different

simulation components will output each of their data to text files, which is input into STK. The end result will be a complete, dynamic display of our GBI simulation, including a comparison of the different truth data versus actual data; the incorporation of GPS truth data will be described later.

The GBI simulation began with opening the MFT and STK applications. Initially, many others and I thought that the Missile Flight Tool module was already installed on the Master of Engineering Program Office's computer stations; however, MFT is not included on the standard load.

An additional MFT compact disc from Analytical Graphics,
Inc. is required. Simply following the installation procedures,
however, did not work. To explain, the computer station did not
recognize the module! To alleviate this problem, the password
had to be manually installed. To ensure this situation did not
happen again, the "new" installation instructions for MFT were
written down and filed with the MFT disc. These installation
instructions are provided below. A five-minute installation job
took over three weeks to accomplish.

The instructions are as follows:

- 1. Install MFT
- 2. Restart computer
- Go to Start Menu in bottom left corner of screen
- 4. Select "Run"
- 5. Type "Regedit"
- 6. Hit "Enter" key
- 7. You should now be in the "Registry Editor" screen

- 8. Select "Hkey_LOCAL_MACHINE"
- 9. Now, click on "SOFTWARE" folder
- 10. Next, click on "AGI" folder
- 11. Next, click on "STK" folder
- 12. Then, select "LicenseData " folder
- 13. Now, select "Edit" from the top toolbar
- 14. Select "New" and "String Value"
- 15. Rename the new folder as "MFTv.0"
- 16. Now, right click the mouse button and enter the following data in the "Value data:" box:
 "HostID#:Password" ***NOTE: The Host ID# is the host ID number of the computer station you are currently on. Next, type a colon and without spacing after the colon, type the respective password to the host ID number. The respective password is the password for the computer station you are currently on.
- 17. Click "OK"
- 18. You should now be able to run MFT from the Start Menu

With both applications open, Satellite Tool Kit and Missile Flight Tool interface with each other through the STK Connect module. This module allows a simple IPC connection. What is not so simple is establishing this connection. To the established user, the connection is made with two clicks of the mouse. For the non-established user, one must first obtain the password and password expiration date from Analytical Graphics, Inc. The password and expiration date had to be manually installed for this module as well.

Once MFT was installed and the STK/MFT interface established, the launch and impact sites were inputted. We chose to simulate an ICBM launch from Paris, France; New York City, USA was chosen to be the primary impact site, or target, and Washington D.C. was chosen as our decoy impact site. There

was no rhyme or reason as to why Paris should strike New York.

Nor was there any reason as to why we chose Washington D.C. as

our decoy impact site.

Given the launch and impact sites, we determined the type of missile that would be modeled. An LGM-30 Minuteman III intercontinental ballistic missile was selected as our threat ICBM because it closely resembled an MFT missile option.

Specifically, the MFT LRM_2-12000 missile model was selected.

This missile "...is a strategic ICBM-type missile with a 12000 km maximum range, three stages and a PBV [Post Boost Vehicle] with three RVs." The actual Minuteman III missile has a range of 10000-plus kilometers, three stages and is capable of carrying three warheads. 2

Our simulation called for us to model only two RVs, one primary, one decoy. Since the LRM_2-12000 missile carried three RVs, we had to modify the ICBM model in MFT. This was accomplished by targeting two of the warheads to impact New York

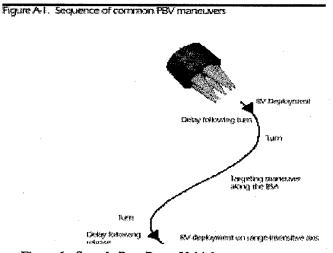


Figure 1. Sample Post-Boost Vehicle sequence

City (one became redundant), while the third satisfied the decoy requirement in our simulation. MFT is able to model four types of Post-Boost Vehicle configurations, meaning, there are four different ways the reentry vehicles can be deployed. Figure 1 (reprinted from Appendix A of the MFT user's manual) illustrates a possible Post-Boost Vehicle sequence. To ensure the viewer attains an accurate representation of the RVs' deployment and descent, I instructed STK to display only the decoy and one of the primary RVs.

The initial threat selection was the LGM-118A Peacekeeper ICBM which can deliver up to 10 RVs with greater accuracy than any other ballistic missile. It, too, has three stages, and like the Minuteman III, has a range of 10000-plus kilometers. With the end of the Cold War, however, the United States agreed to eliminate the Peacekeeper missiles and institute the Minuteman as the only land-based ICBM in the nuclear Triad. The desire to keep the simulation as true-to-life as possible resulted in rejection of the Peacekeeper.³

The final 'initial' decision our GBI team had to make was the simulation time step for propagation of the threat. We determined that the EKV needed a relatively small time step to accurately achieve a close-encounter approach with the enemy ICBM. Therefore, we selected a time step of 0.1 seconds. Unfortunately, Missile Flight Tool would not model the ICBM's

flight in such a small time step. In light of this, our time step became the time step that MFT was able to propagate, namely, one second.

The above decisions enabled the propagation of the ICBM and attainment of the truth data needed for the rest of the simulation. MFT is very sensitive. One must follow a certain process of inputting data before MFT will fully compute the trajectory of the ICBM. Also, there were many nuances of the Satellite Tool Kit operating system during the link-up between STK and MFT; some of these nuances are detailed later in this paper. After many hours of frustration, the solution to the STK/MFT operating dilemma was obtained by writing down, step-by-step, the correct way to work with MFT and STK. With a click of a few buttons, truth data was obtained and exported it to Satellite Tool Kit. Figure 2 displays the end result of propagating a missile and visualizing it using STK.



Figure 2. Reentry vehicles descending to their targets.

RV_3 is the decoy reentry vehicle on its way to Washington D.C., while RV_1 is the primary target RV. One can see in Figure 2 that the third stage descends after it has deployed the RVs.

Interfaces

The MATLAB Simulink and Stateflow programs and the ICBM truth file was used to arrive at each component's output.

Unfortunately, STK and MATLAB did not directly interface with each other. Therefore, before Simulink could read the truth data, it was transformed into a MATLAB m-file. This was a simple process of first saving the truth data as a text file, then, modifying that text file as MATLAB code.

Outputs from Simulink/Stateflow

To output the data generated by the different simulation components in Simulink and Stateflow to STK, each data file was saved as a text file. Each file had to be in a particular STK readable format, though. For example, the GPS satellites in our simulation were not created in STK. Instead, their locations and orbits were defined in MATLAB. To display their locations at each time step in STK, each GPS satellite's ephemeris must be imported to the STK application; importing data is done by creating a text file with the necessary information in the necessary location. In our case, the satellites' latitude, longitude, altitude, latitude rate, longitude rate and altitude rate is needed at each time step. The exact format for an ephemeris file (.e) can be found on pages C-9 to C-17 in the STK User's Manual.4

Further, to model the infrared satellites (IR sats) correctly, the azimuth and elevation at each time step of the onboard sensor's cone angle must be imported into an STK sensor. The azimuth and elevation will be generated in Simulink and saved in an STK readable format, namely, a text file.

The following items will be imported into STK once the Simulink output files are generated: the EKV and its flight path, the pointing angles of the IR sensors and search and track radars and the GPS satellites. The EKV data file that will be

imported into STK will be formatted similar to the ICBM truth file. The EKV data file will contain the kill vehicle's latitude, longitude, altitude, latitude rate, longitude rate and altitude rate at each time step. By importing the pointing angles of the IR sensors and the search and track radars, we will able to accurately display when a sensor or radar is able to see the ICBM. Knowing when the sensors and radars have access to the ICBM aids in the ease of understanding our GBI simulation.

We had to decide on locales for each of the sites - the radar site, the locations for the IR sats and the EKV site - before we could begin to think about importing any data into STK. Our decisions were based on a combination of technical and non-technical reasons. Access times with the ICBM drove the location of the radar site; resolution, pointing angles and access times drove the placement of the IR sats and kill time drove the location of the EKV.

Modeling of radars

The radars were located at Dagortoq, Greenland as phased-array radars. Unfortunately, STK does not have the option to model a phased-array radar. To compensate, the radars were modeled as sensors until such a time when actual radar data from Simulink is available for import. The sensor options available in STK are:

- 1. Complex Conic
- 2. Rectangular
- 3. Half-Power
- 4. Synthetic Aperture Radar
- 5. Simple Conic

The search and track radars were modeled as simple conic sensors since this was the easiest option to understand and work with.

Before modeling the radars in this fashion, we ensured that the viewer would still able to able see and understand, visually, how the search and track radars play their part in our simulation. We defined the parameters for the two sensors, one search and one track.

Figure 3, below, is a two-dimensional depiction of the search and track radars seeing the ICBM and tracking it along its trajectory.

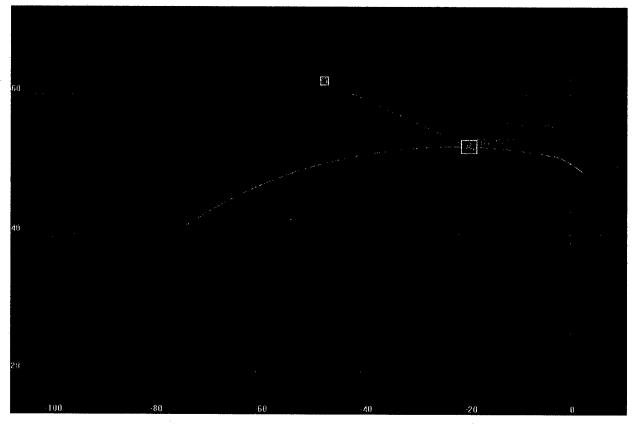


Figure 3. Radars have access to ICBM and actively track it.

The orange parabaloid around the missile shows that the search radar can see the ICBM. The white box around both the Dagortoq site and the missile shows that the track radar has access to the ICBM. The white line in between the two tells the viewer that the Dagortoq site is actively tracking the ICBM. As a point of interest, one can see in Figure 3 as well, the second stage and the shroud of the enemy ICBM have already separated from the missile.

Figure 4, below, is a three-dimensional version of the scene depicted above. In this figure, however, the viewer can

readily see, not only the trajectory of the ICBM, but the orange cone angle of 20 degrees that represents the search radar and the 1 degree cone angle that represents the track radar.



Figure 4. The search radar (orange) and track radar (white) track the enemy ICBM.

Again, as a point of interest, one can readily see the trajectory of the different stages of the ICBM. The white line spanning the Atlantic Ocean is the ICBM's ground track.

Modeling of IR sats and sensors

The infrared sensors designed to detect the launch of the enemy ICBM were placed onboard geostationary satellites. From this altitude, the IR sensors could trace the entire surface of Earth. STK's different sensor options allow modeling the spiraling action of the actual IR sensor until actual azimuth

and elevation pointing angles of the sensor from an Simulink output file is available. With the options given me by STK, we modeled the spinning movement of the IR sensor about its boresight by defining a spin rate and a single set of pointing angles.

Working together, the two sensors see the ICBM throughout its flight. One of the downfalls of STK, however, was that once the sensors' spin rates and pointing angles were defined, the actual 'workings' of the sensors could not be modeled. To explain, once the initial spin parameters for the IR satellites' sensors were defined, the transition from searching to tracking the missile with the IR sensors (that is, IR sensors attaining a 'lock' on the target) could not be modeled. This problem will be relieved when the IR pointing angles from the Simulink output file are available. This data will allow modeling of the proper spiral spin of the sensor and model its transition from a search mode to a tracking mode.

This is not to say that the IR sensors attaining a lock on the target cannot be modeled without the Simulink data. Adding two more satellites, each with its own sensor, to the scenario will relieve this problem. These additional sensors could be defined with the proper parameters so that they "turn on" once they see the ICBM. Keeping in mind our goal of simulating a real world event, this technique was rejected.

Modeling of EKV

The Exoatmospheic kill vehicle will be launched from New York City once it is determined that it can see the incoming ICBM. To watch this critical event happen in our simulation, a sensor was placed on the kill vehicle. As the EKV headed on a collision course with the ICBM, the sensor's continued lock on the target was visualized in STK much like the track radar displayed it had a lock on the ICBM. A white line connected the two objects, and a while square was seen around the locked-on vehicle.

An approximate model of the EKV launching was not possible without the outputted Simulink data as done with the IR sats and the radars. Neither STK's ballistic propagator, nor MFT's ballistic propagator was precise enough to model an intercept missile. Therefore, a visual how the EKV attacks the ICBM can not be generated until the EKV trajectory data is output from Simulink in a text file.

Modeling of GPS sats and battle manager

The GPS satellite model was the simplest model, aside from the battle manager, to integrate within STK. All that was required to model the GPS satellites was each satellite's position and velocity at each time step. This information was supplied from a MATLAB module in a text file. Since the GPS

satellites only pass information to the EKV, only the satellites themselves need to be visualized.

Originally, two EKVs and their positions were going to be visualized; one based the *Simulink* output and the other based on the GPS truth data, that is, where the GPS satellites thought the EKV was. However, since the GPS model was so accurate, it was unnecessary to display both trajectories.

Like the GPS satellite model, the battle manager model did not require any elaborate displays in STK. Therefore, a facility was placed in Colorado Springs, USA that represented the battle manager's location.

Analysis and conclusions

Troubles encountered during mission

Once MFT was installed properly, and once all the nuances of the STK/MFT interface were understood, the addition of models was fairly easy. Further, once all the pieces to our simulation puzzle were put together, STK's promise of making analysis of complex scenarios came true. Below is a quick summary of the major problems encountered. Had it not been for these problems, more time would be available to add fidelity to visualization portion of our GBI simulation.

- 1. The Missile Flight Tool module is not provided on the standard Satellite Tool Kit CD; MFT requires its own separate CD.
- 2. To access any STK module, such as Missile Flight Tool, one must have a password and either an expiration date or a Host ID number for his/her computer station.
- 2. Most likely, one will have to manually install the password and Host ID number/expiration date. This requires the user to be either extremely familiar with his/her computer or extremely friendly with the Analytical Graphic's technical support staff!
- 4. Any changes a user wishes to make to his/her MFT scenario after he/she has exported the scenario to STK requires that the user begin the simulation completely anew.

Growth possibilities

Given more time to work on this simulation, there a number of other scenarios we could model and simulate. For example, it is possible to model the random affects of wind magnitudes and directions on the ICBM and EKV as they travel along their trajectories. Moreover, it is possible to include several different launch sites into our simulation. Obviously, though, this would require the addition of more search and track radars and more IR satellites.

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